

IPA Deliverable	Report Frequency	CY 2024 Reporting Period	IEHP Due Date	IEHP Policy Number (s)	Department(s)	File Naming Convention	SFTP Folder	Regulatory Measure(s)
		1/1-1/31	February 15, 2024					
		2/1-2/28	March 15, 2024					
		3/1-3/31	April 15, 2024					
		4/1-4/30	May 15, 2024					
		5/1-5/31	June 15, 2024					42 CED Bout 422 520 & Social
Claims Timeliness	Monthly	6/1-6/30	July 15, 2024	MA 20F - Claims and Payment Appeal	Financial Compliance		A2 CFR Part 422.520 & Social Security Act Sections 1876(g)(6)(A), 1816(2)(A) IPA Oversight / Year / Month CMS Program Audit, Universe Submissions for Random File Reviews	
Reports	Williamy	7/1-7/31	August 15, 2024	Reporting	Financial Compilance	IPACode_MTR_MM_2024	Ciamis Timemiess/Worth	
		8/1-8/31	September 15, 2024					1070(g)(0)(A), 1010(2)(A)
		9/1-9/30	October 15, 2024					
		10/1-10/31	November 15, 2024					
		11/1-11/30	December 15, 2024					
		12/1-12/31	January 15, 2025					
		1/1-1/31	February 15, 2024					
		2/1-2/28	March 15, 2024					
		3/1-3/31	April 15, 2024					
Table 3 Payment		4/1-4/30	May 15, 2024					Submissions for Random File
Organization		5/1-5/31	June 15, 2024	MA 20F - Claims and Payment Appeal Reporting				
Determinations and	Monthly	6/1-6/30	July 15, 2024		Financial Compliance	IPACode_Universe_PYMTC_MM_2024		
Reconsiderations		7/1-7/31	August 15, 2024					
(PYMT C)		8/1-8/31	September 15, 2024					
(11111_0)		9/1-9/30	October 15, 2024					
		10/1-10/31	November 15, 2024					
		11/1-11/30	December 15, 2024					
		12/1-12/31	January 15, 2025					
		1/1-1/31	February 15, 2024					
		2/1-2/28	March 15, 2024					
		3/1-3/31	April 15, 2024					
Table 1 Standard		4/1-4/30	May 15, 2024					
and Expedited Pre-		5/1-5/31	June 15, 2024					CMS Program Audit, Universe
Service	Monthly	6/1-6/30	July 15, 2024	MA 25E2 - Utilization Management -	UM		IPA Oversight / Year /	Submissions for Random File
Organization	Williamy	7/1-7/31	August 15, 2024	Reporting Requirements	CIVI	IPACode_Universe_OD_MM_2024	Month	Reviews
Determinations		8/1-8/31	September 15, 2024					Reviews
Determinations		9/1-9/30	October 15, 2024	4				
		10/1-10/31	November 15, 2024					
		11/1-11/30	December 15, 2024					
		12/1-12/31	January 15, 2025		<u> </u>			



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		1/1-1/31	February 15, 2024					
		2/1-2/28	March 15, 2024					Regulatory Measure(s) FDR Oversight FDR Oversight FDR Oversight
		3/1-3/31	April 15, 2024					
		4/1-4/30	May 15, 2024					
IPA Oversight-		5/1-5/31	June 15, 2024				IPA Oversight / Year /	
Monthly Denial	Monthly	6/1-6/30	July 15, 2024	MA 25E2 - Utilization Management -	UM	IPACode DenFiles MM 2024		FDR Oversight
Files	•	7/1-7/31	August 15, 2024 September 15, 2024	Reporting Requirements			Month	J
		8/1-8/31 9/1-9/30	October 15, 2024					
		10/1-10/31	November 15, 2024					
		11/1-11/30	December 15, 2024					
		12/1-12/31	January 15, 2025					
		1/1-1/31	February 15, 2024					
		2/1-2/28	March 15, 2024					
		3/1-3/31	April 15, 2024					
		4/1-4/30	May 15, 2024					
		5/1-5/31	June 15, 2024					
IPA Oversight-		6/1-6/30	July 15, 2024	MA 25E2 - Utilization Management -	UM		IPA Oversight / Year / FDR Oversight Month	EDB Ownight
Monthly Approval File Review	Monthly	7/1-7/31	August 15, 2024	Reporting Requirements	UM	IPACode App File Rv MM 2024		FDR Oversight
File Review		8/1-8/31	September 15, 2024					
		9/1-9/30	October 15, 2024					
		10/1-10/31	November 15, 2024					
		11/1-11/30	December 15, 2024					
		12/1-12/31	January 15, 2025					
		1/1-1/31	February 15, 2024					
		2/1-2/28	March 15, 2024					
		3/1-3/31	April 15, 2024					
		4/1-4/30	May 15, 2024					
IPA Oversight-		5/1-5/31 6/1-6/30	June 15, 2024				TDA O LILIVIA /	
Monthly Care Transition Cases	Monthly	7/1-7/31	July 15, 2024 August 15, 2024	Pending Policy TBD	UM	IPACode TOC MM 2024	IPA Oversight / Year / Month	FDR Oversight
Log		8/1-8/31	September 15, 2024			IFACode_TOC_WWI_2024	Monui	
Log		9/1-9/30	October 15, 2024					
		10/1-10/31	November 15, 2024					
		11/1-11/30	December 15, 2024					
		12/1-12/31	January 15, 2025					
		1/1-1/31	February 15, 2024					
		2/1-2/28	March 15, 2024					
		3/1-3/31	April 15, 2024					
		4/1-4/30	May 15, 2024					
IPA Oversight-		5/1-5/31	June 15, 2024				EAV 4- IEIID?- I	
Monthly Long	Monthly	6/1-6/30	July 15, 2024	MA 14F - Long-Term Care (LTC)	UM		FAX to IEHP's Long Term Care fax line at	EDR Oversight
Term Care (LTC)	withining	7/1-7/31	August 15, 2024	MA 141 - Long-Telli Cale (LTC)	Civi	IPACode_LTC_Data_MM_2024	(909) 912-1045	I DK Oversight
Data Sheet		8/1-8/31	September 15, 2024				(505) 512 1013	
		9/1-9/30	October 15, 2024	24)24)24				
		10/1-10/31	November 15, 2024					
		11/1-11/30	December 15, 2024					
		12/1-12/31	January 15, 2025					



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		2/1-2/28	March 15, 2024					
		3/1-3/31	April 15, 2024					
		4/1-4/30	May 15, 2024					
IPA Oversight-		5/1-5/31	June 15, 2024	MA 25E2 - Utilization Management - Reporting Requirements				
Monthly Second	Monthly	6/1-6/30	July 15, 2024		UM	IPACode Second Opinion Log MM 2024	IPA Oversight / Year/ Month	FDR Oversight
Opinion Log	1110111111	7/1-7/31	August 15, 2024			in recode_become opinion 20g_min_202		T Dit o versigni
		8/1-8/31	September 15, 2024					
		9/1-9/30	October 15, 2024					
		10/1-10/31	November 15, 2024					
		11/1-11/30	December 15, 2024	_				
		12/1-12/31	January 15, 2025					
		1/1-1/31	February 15, 2024					
		2/1-2/28	March 15, 2024	4				
		3/1-3/31	April 15, 2024	MA 21A - Medicare Dual Choice Encounter				
		4/1-4/30	May 15, 2024				5010 / Encounters	
		5/1-5/31	June 15, 2024					
Encounter Data	Monthly	6/1-6/30	July 15, 2024	Data Submission Requirements	EDI	IDAG 1 FNG MM 2024		Encounter Data Submission
	•	7/1-7/31	August 15, 2024	IEHP Provider EDI Manual- IV-4	1	IPACode_ENC_MM_2024		Requirement
		8/1-8/31	September 15, 2024 October 15, 2024	4				
		9/1-9/30 10/1-10/31	November 15, 2024	4				
		11/1-11/30	December 15, 2024	-				
		12/1-12/31	January 15, 2025	-				
		1/1-1/31	February 15, 2024					
	•	2/1-2/28	March 15, 2024					Guidelines for Care Management
		3/1-3/31	April 15, 2024					
		4/1-4/30	May 15, 2024	 				
		5/1-5/31	June 15, 2024	†				California- Specific Reporting -
Care Management		6/1-6/30	July 15, 2024	MA 25C2 - Care Management Requirements -			IPA Oversight / Year /	CA1.5, CA1.6, CA1.12 Care
Log Version 2.3	Monthly	7/1-7/31	August 15, 2024	Reporting Requirements	CM	IPACode CM MM 2024	Month	Coordination and CMS/DHCS
		8/1-8/31	September 15, 2024					CA Demonstration Three-Way
		9/1-9/30	October 15, 2024	1				Contract 1-1-2018, section
		10/1-10/31	November 15, 2024					2.5.2.8
		11/1-11/30	December 15, 2024	1				
		12/1-12/31	January 15, 2025	1				
		1/1-1/31	February 15, 2024					
		2/1-2/28	March 15, 2024	1				
		3/1-3/31	April 15, 2024	1				
		4/1-4/30	May 15, 2024					
		5/1-5/31	June 15, 2024					
Care Plan Outreach	Monthly	6/1-6/30	July 15, 2024	MA 25C2 - Care Management Requirements -	CM		IPA Oversight / Year /	DHCS Policy Guide 12-2022
Log Version 1.1	Monuny	7/1-7/31	August 15, 2024	Reporting Requirements	Civi	IPACode_Outreach_MM_2024	Month	Directioney Guide 12-2022
		8/1-8/31	September 15, 2024	<u> </u>				
		9/1-9/30	October 15, 2024					
		10/1-10/31	November 15, 2024	024 024				
		11/1-11/30	December 15, 2024					
		12/1-12/31	January 15, 2025	<u> </u>				



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		1/1-1/31	February 15, 2024					
		2/1-2/28	March 15, 2024	_				
		3/1-3/31 4/1-4/30	April 15, 2024 May 15, 2024	-				
		5/1-5/31	June 15, 2024	-				
Interdiscipinary		6/1-6/30	July 15, 2024	MA 25C2 - Care Management Requirements -			IPA Oversight / Year /	
Care Team Log	Monthly	7/1-7/31	August 15, 2024	Reporting Requirements	CM	IPACode ICT MM 2024	Month	DHCS Policy Guide 12-2023
		8/1-8/31	September 15, 2024					
		9/1-9/30	October 15, 2024	1				
		10/1-10/31	November 15, 2024]				
		11/1-11/30	December 15, 2024					
		12/1-12/31	January 15, 2025					
		1/1-3/31	May 15, 2024			IPACode - YYYY-Q1 - Credentialing and Recredentialing Activities Report		
Credentialing and		4/1-6/30	August 15, 2024	MC 25D10 Condentialing Standards	Credentialing	IPACode - YYYY-Q2 - Credentialing and Recredentialing Activities Report	/Credentialing/ and	Per most current IEHP,
Recredentialing Report	Quarterly	7/1-9/30	November 15, 2024	MC 25B10 - Credentialing Standards, Credentialing Quality Oversight of Delegates	(CR)	IPACode - YYYY-Q3 - Credentialing and Recredentialing Activities Report	Email to CredentialingProfileSubmi	NCQA, State and regulatory guidelines
		10/1-12/31	February 15, 2025			IPACode - YYYY-Q4 - Credentialing and Recredentialing Activities Report	ssion@iehp.org	
		1/1-1/31	February 15, 2024			IPA - YYYY- Recredentialing Report (IEHP to IPA Code)		
		2/1-2/28	March 15, 2024			IPA - YYYY-Recredentialing Report (IEHP to IPA Code)		
		3/1-3/31	April 15, 2024			IPA - YYYY - Recredentialing Report (IEHP to IPA Code)		
		4/1-4/30	May 15, 2024			IPA - YYYY - Recredentialing Report (IEHP to IPA Code)		
		5/1-5/31	June 15, 2024			IPA - YYYY - Recredentialing Report (IEHP to IPA Code)	/Credentialing/	
Review of Recred Report provided by	Monthly	6/1-6/30	July 15, 2024	MC 25B10 - Credentialing Standards,	Credentialing	IPA - YYYY - Recredentialing Report (IEHP to IPA Code)	and Email to	Per most current IEHP, NCQA, State and regulatory
IEHP (by the 5th of	Monuny	7/1-7/31	August 15, 2024	Credentialing Quality Oversight of Delegates	(CR)	IPA - YYYY - Recredentialing Report (IEHP to IPA Code)	CredentialingProfileSubmi	guidelines



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cacii monui)		8/1-8/31	September 15, 2024			IPA - YYYY - Recredentialing Report (IEHP to IPA Code)	ssion@icnp.org	
		9/1-9/30	October 15, 2024			IPA - YYYY - Recredentialing Report (IEHP to IPA Code)		
		10/1-10/31	November 15, 2024			IPA - YYYY - Recredentialing Report (IEHP to IPA Code)		
		11/1-11/30	December 15, 2024			IPA - YYYY - Recredentialing Report (IEHP to IPA Code)	†	
		12/1-12/31	January 15, 2025			IPA - YYYY- Recredentialing Report (IEHP to IPA Code)	†	
Current Profile, Contract and W-9 (to include any applicable attachments i.e. Attachment I, Practice Agreements, Standardized Procedures, Applicable Contract Addendums)	As needed	Not Applicable	As required for Initial Credentialing Applications	MC 25B10 - Credentialing Standards, Credentialing Quality Oversight of Delegates		IPA Code_Last Name, First Name_YYYY_MM_DD (YYYY_MM-DD = Date submitted to IEHP)	/Credentialing/ and Email to CredentialingProfileSubmi ssion@iehp.org	Per most current IEHP, NCQA, State and regulatory guidelines
Provider Submission via Excel Spreadsheet	As needed	Not Applicable	As required for Initial Credentialing Applications	MC 25B10 - Credentialing Standards, Credentialing Quality Oversight of Delegates		IPA_Code_Provider Profile Additions_YYYY_MM_DD (YYYY_MM-DD = Date submitted to IEHP)	/Credentialing/ and Email to CredentialingProfileSubmi ssion@iehp.org	Per most current IEHP, NCQA, State and regulatory guidelines
Written and approved Credentialing, Recredentialing, Peer Review Policies and Procedures	As needed	1/1-12/31	Within thirty (30) days following Credentialing Committee approval or prior to on-site and/or desktop audit	МА	Credentialing	N/A	IPA Oversight/Credentialing	
Claims Quarterly Provider Payment Dispute Resolution Report	Quarterly	1/1-3/31 4/1-6/30 7/1-9/30 10/1-12/31	April 29, 2024 July 29, 2024 October 31, 2024 January 31, 2025	MA 20F - Claims and Payment Appeal Reporting	Financial Compliance	IPACode PDR Q1 2024 IPACode PDR Q2 2024 IPACode PDR Q3 2024 IPACode PDR Q4 2024	Claims Timeliness / Year / Month	42 CFR Part 422.214, 417.558 and 422.520 & Social Security Act Sections 1852(k), 1894(b)(3)
Balance Sheet, Income Statement, Cash flow	Monthly	1/1-1/31 2/1-2/28 3/1-3/31 4/1-4/30 5/1-5/31 6/1-6/30	February 15, 2024 March 15, 2024 April 15, 2024 May 15, 2024 June 15, 2024 July 15, 2024	MA 10A1 - Financial Viability	Financial Analysis	IDAName IRND LOR MM 2024	Financial Statements	California Code of



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Supporting		7/1-7/31	August 15, 2024	WIN 177X1 - Financial Viaumity	1 maneiai Amarysis	II AINGING_IDINK_LOD_IVINI_2024	i maneiai statements	Regulations: 1300.75.4.2
Worksheets for		8/1-8/31	September 15, 2024					
IBNR		9/1-9/30	October 15, 2024					
		10/1-10/31	November 15, 2024					
		11/1-11/30	December 15, 2024					
		12/1-12/31	January 15, 2025					
		1/1-1/31	February 15, 2024					
		2/1-2/28	March 15, 2024	MA 19A1 - Financial Viability				California Code of
		3/1-3/31	April 15, 2024					
	-	4/1-4/30	May 15, 2024				Financial Statements	
Organizational		5/1-5/31	June 15, 2024		Financial Analysis	IPAName_Financial Info Disc_LOB_MM_2024		
Informational	Monthly	6/1-6/30	July 15, 2024					
Disclosures		7/1-7/31	August 15, 2024	mant in the state of the state			T manetar Statements	Regulations: 1300.75.4.2
		8/1-8/31	September 15, 2024					
		9/1-9/30	October 15, 2024					
		10/1-10/31	November 15, 2024					
		11/1-11/30	December 15, 2024					
		12/1-12/31	January 15, 2025					
		1/1-1/31	February 15, 2024					
		2/1-2/28	March 15, 2024					
		3/1-3/31	April 15, 2024					
		4/1-4/30	May 15, 2024					



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IPA Financial Viability Report	Monthly	5/1-5/31 6/1-6/30 7/1-7/31 8/1-8/31	June 15, 2024 July 15, 2024 August 15, 2024 September 15, 2024	MA 19A1 - Financial Viability	Financial Analysis	IPAName_Financial Viability_LOB_MM_2024	Financial Statements	California Code of Regulations: 1300.75.4.2
		9/1-9/30 10/1-10/31 11/1-11/30 12/1-12/31	October 15, 2024 November 15, 2024 December 15, 2024 January 15, 2025					
D		1/1-3/31	May 15, 2024			IPACode_PartC_Claims_Q1_2024		
Part C Organization	Oversteeler	4/1-6/30	August 15, 2024	MA 25 Delegation and Occasiols	Claims	IPACode_PartC_Claims_Q2_2024	IPA Oversight / Year /	Part C Reporting - Organization
onsiderations -	erminations/Rec Quarterly onsiderations - Claims	7/1-9/30	November 15, 2024	MA 25 - Delegation and Oversight	Claims	IPACode_PartC_Claims_Q3_2024	Month	Determinations/Reconsideratio ns
Ciamis		10/1-12/31	February 9, 2025			IPACode_PartC_Claims_Q4_2024		



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		1/1-3/31	May 15, 2024			IPACode_PartC_Auths_Q1_2024		
Part C Organization Determinations/Rec onsiderations - Authorizations	Ossantonly	4/1-6/30	August 15, 2024	MA 25 - Delegation and Oversight	UM	IPACode_PartC_Auths_Q2_2024	IPA Oversight / Year /	Part C Reporting - Organization
	Quarterly	7/1-9/30	November 15, 2024	MA 23 - Delegation and Oversight	UM	IPACode_PartC_Auths_Q3_2024	Month	Part C Reporting - Organization Determinations/Reconsideratio ns FDR Oversight
		10/1-12/31	February 9, 2025			IPACode_PartC_Auths_Q4_2024		
		1/1-3/31	May 15, 2024			IPACode_UM Program Evaluation_HICE_Q1_2024		
IPA Oversight- Quarterly UM	0 1	4/1-6/30	August 15, 2024	MA 25E2 - Utilization Management -	UM	IPACode_UM Program Evaluation_HICE_Q2_2024	IPA Oversight / Year /	EDD O I.
Program Evaluation /HICE Report	Quarterly	7/1-9/30	November 15, 2024	Reporting Requirements	OW	IPACode_UM Program Evaluation_HICE_Q3_2024	Quarterly	Part C Reporting - Organization Determinations/Reconsideratio ns FDR Oversight
		10/1-12/31	February 15, 2025			IPACode_UM Program Evaluation_HICE_Q4_2024		
		1/1-3/31	May 15, 2024			IPACode_UM Program Evaluation_HICE_Q1_2024		
IPA Oversight- Quarterly UM		4/1-6/30	August 15, 2024	MA 25F2 Hell of M		IPACode_UM Program Evaluation_HICE_Q2_2024		Part C Reporting - Organization Determinations/Reconsideratio ns FDR Oversight
Work plan Update / Evaluation /HICE Report	Quarterly	7/1-9/30	November 15, 2024	MA 25E2 - Utilization Management - Reporting Requirements	UM	IPACode_UM Program Evaluation_HICE_Q3_2024	IPA Oversight / Year / Quarterly	
		10/1-12/31	February 15, 2025	-		IPACode_UM Program Evaluation_HICE_Q4_2024		



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IPA Oversight- Semi-Annual Chronic Care	Semi-Annual (Q1 Plan)	1/1-2/30	March 15, 2024	MA 13E - Chronic Care Improvement	014	IPACode_CCIP_Q1 Plan_2024	IPA Oversight / Year / Semi Annual	EDD O I.
Improvement Program Activity (CCIP)	Semi-Annual (Progress Update)	3/1-8/31	September 15, 2024	Program (CCIP)	QM	IPACode_CCIP_Progress Update_2024	IPA Oversight / Year / Semi Annual	FDR Oversight
IPA Oversight- Semi-Annual QM	Semi-Annual	1/1-6/30	November 15, 2024	MA 25D1 - Quality Management Reporting	014	IPACode_QM_Workplan Eval_Q1-Q2_2024	IPA Oversight / Year / Semi Annual	EDD O I.
Work plan Update / Evaluation Report	Semi-Annual	7/1-12/31	February 15, 2025	Requirements	QM	IPACode_QM_Workplan Eval_Q3-Q4_2024	IPA Oversight / Year / Semi Annual	FDR Oversight
Care Coordinator to Member Ratio Report	Annual	1/1-12/31	January 15, 2024	MA 25 - Section V - Organizational Structure and Staffing	СМ	IPACode_CareCoord_2025	IPA Oversight / Care Coordinator Ratio	MMP Reporting 5.1 - Care Coordinator to Member Ratio
IPA Oversight- Annual UM Program Description	Annual	1/1-12/31	February 15, 2024	MA 25E2 - Utilization Management - Reporting Requirements	UM	IPACode_UM Program Description_2024	IPA Oversight / Year / Annual	FDR Oversight
IPA Oversight- Annual UM Work plan / Initial /HICE Report	Annual	1/1-12/31	February 15, 2024	MA 25E2 - Utilization Management - Reporting Requirements	UM	IPACode_Initial UM Workplan_ICE Report_2024	IPA Oversight / Year / Annual	FDR Oversight
IPA Oversight- Annual QM Program Description	Annual	2024	February 28, 2024	MA 25D1 - Quality Management Reporting Requirements	QM	IPACode_QM Program Description_2024	IPA Oversight / Year / Annual	FDR Oversight
IPA Oversight- Annual QM Work plan / Initial	Annual	2024	February 28, 2024	MA 25D1 - Quality Management Reporting Requirements	QM	IPACode_QM_Workplan_2024	IPA Oversight / Year / Annual	FDR Oversight
IPA Oversight- Annual QM Program Evaluation	Annual	2024	February 28, 2025	MA 25D1 - Quality Management Reporting Requirements	QM	IPACode_QM_Evaluation_2024	IPA Oversight / Year / Annual	FDR Oversight



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Compliance- Annual Guidelines for Care Management Provider and Internal Staff Training Completion Records	Annual	1/1-12/31	February 28, 2024	MA 12A7 Coordination of Care	СМ	IPACode_Model_Care_Trng_2024	IPA Oversight / Year / Annual	Guidelines for Care Management
IPA Oversight- Annual HCC Workplan	Annual	1/1-12/31	February 28, 2024	MA 21C – Medicare Risk Adjustment and Hierarchical Condition Categories (HCC)	НСІ	IPACode_HCC_Workplan_2024	IPA Oversight / Year / Annual	FDR Oversight
Care Coordinator training for supporting self- direction under the demonstration	Annual	1/1-12/31	January 15, 2024	MA 21F - Medicare MMP Reporting Requirements - IEHP DualChoice Cal MediConnect Plan (Medicare - Medicaid Plan)	СМ	IPACode_Care_Coordinator_Trng_2024	IPA Oversight / Year / Annual	California-Specific Reporting - CA3.2 Organizational Structure & Staffing
Credentialing and Recredentialing- Written and Approved Credentialing, Recredentialing, Peer Review Policies and Procedures	Precontractual and Annual DOA	1/1-/12/31	As required for Precontractual Assessment and Annual DOA	MA 13G - Delegation Oversight Audit	Credentialing	IPA Name_Policy Name_MMDDYY	Audits / 2023 DOA Audit	FDR Oversight
Compliance Compliance Program policies and procedures	Annual	7/1/2023-6/30/2024	As required for Precontractual Assessment and Annual DOA	MA 23B Compliance Reporting Requirements	Compliance	N/A	IPA Oversight / Compliance / Year	MMCM Chapter 21
Compliance- FWA Program policies and procedures	Annual	7/1/2023-6/30/2024	As required for Precontractual Assessment and Annual DOA	MA 23B Compliance Reporting Requirements	Compliance	N/A	IPA Oversight / Compliance / Year	Code of Federal Regulations, Title 42, Part 422 and 423; Code of Federal Regulations, Title 42, \$438.608 and \$455.2; Federal False Claims Act, US Code, Title 31; Health & Safety Code \$1348; Welfare & Institutions Code, \$14043.1



IPA Deliverable	Report Frequency	CY 2024 Reporting Period	IEHP Due Date	IEHP Policy Number (s)	Department(s)	File Naming Convention	SFTP Folder	Regulatory Measure(s)
Compliance- Sanction and Exclusion Screenings policies and procedures and evidence activity was conducted prior to hire and monthly thereafter.	Annual	7/1/2023-6/30/2024	As required for Precontractual Assessment and Annual DOA	MA 23B Compliance Reporting Requirements	Compliance	N/A	IPA Oversight / Compliance / Year	MMCM Chapter 21
Compliance - Standars/Code of Conduct and evidence of distribution to New Hires and Annually thereafter	Annual	7/1/2023-6/30/2024	As required for Precontractual Assessment and Annual DOA	MA 24E Compliance Program Description	Compliance	N/A	IPA Oversight / Compliance / Year	Code of Federal Regulations, Title 42 § 422.503(b)(4)(vi)(A)
Compliance - Copies of Compliance and FWA Trainings and evidence of completion records for New Hires and Annually thereafter.	Annual	7/1/2023-6/30/2024	As required for Precontractual Assessment and Annual DOA	MA 23B Compliance Reporting Requirements	Compliance	N/A	IPA Oversight / Compliance / Year	MMCM Chapter 21
Compliance - Compliance Committee Meeting Minutes and Sign Ins	Annual	7/1/2023-6/30/2024	As required for Precontractual Assessment and Annual DOA	MA 24E Compliance Program Description	Compliance	N/A	IPA Oversight / Compliance / Year	Code of Federal Regulations, Title 42 §438.608(a)(iii)
Compliance Organizational Chart	Annual	7/1/2023-6/30/2024	As required for Precontractual Assessment and Annual DOA	MA 24E Compliance Program Description	Compliance	N/A	IPA Oversight / Compliance / Year	MMCM Chapter 21
Compliance - Annual Compliance Work Plan	Annual	7/1/2023-6/30/2024	As required for Precontractual Assessment and Annual DOA	MA 24E Compliance Program Description	Compliance	N/A	IPA Oversight / Compliance / Year	Code of Federal Regulations, Title 42 §§ 422.503(b)(4)(vi)(F)and §§ 423.504(b)(4)(vi)(F)
Compliance - Annual Audit and Monitoring Plan	Annual	7/1/2023-6/30/2024	As required for Precontractual Assessment and Annual DOA	MA 24E Compliance Program Description	Compliance	N/A	IPA Oversight / Compliance / Year	Code of Federal Regulations, Title 42 §§ 422.503(b)(4)(vi)(F)and §§ 423.504(b)(4)(vi)(F)



IPA Deliverable	Report Frequency	CY 2024 Reporting Period	IEHP Due Date	IEHP Policy Number (s)	Department(s)	File Naming Convention	SFTP Folder	Regulatory Measure(s)
Audit and Monitoring Universe Report	Annual	7/1/2023-6/30/2024	As required for Precontractual Assessment and Annual DOA	MA 24E Compliance Program Description	Compliance	N/A	IPA Oversight / Compliance / Year	Code of Federal Regulations, Title 42 §§ 422.503(b)(4)(vi)(F)and §§ 423.504(b)(4)(vi)(F)
Compliance - Annual Risk Assessment Report	Annual	7/1/2023-6/30/2024	As required for Precontractual Assessment and Annual DOA	MA 24E Compliance Program Description	Compliance	N/A	IPA Oversight / Compliance / Year	Code of Federal Regulations, Title 42 §§ 422.503(b)(4)(vi)(F)and §§ 423.504(b)(4)(vi)(F)
Compliance - Employee Universe including temporary, interns, volunteers and Governing Board	Annual	7/1/2023-6/30/2024	As required for Precontractual Assessment and Annual DOA	MA 23B Compliance Reporting Requirements	Compliance	N/A	IPA Oversight / Compliance / Year	MMCM Chapter 21
Compliance - List of Downstream Entity/Subcontracto rs	Annual	7/1/2023-6/30/2024	As required for Precontractual Assessment and Annual DOA	MA 24E Compliance Program Description	Compliance	N/A	IPA Oversight / Compliance / Year	Code of Federal Regulations, Title 42 §§ 422.503(b)(4)(vi)(F)
Compliance- HIPAA Privacy Program policies and procedures	Annual	7/1/2023-6/30/2024	As required for Precontractual Assessment and Annual DOA	MA 23B Compliance Reporting Requirements	Compliance	N/A	IPA Oversight / Compliance / Year	Code of Federal Regulations, Title 45, Part 160, 162, and 164; U.S. Dept. of Health and Human Services (DHHS), section 13402(h)(2) of Public Law 111-5 (HITECH ACT).
Compliance - Copies of HIPAA Privacy Training and evidence of completion records for New Hires and Annually thereafter.	Annual	7/1/2023-6/30/2024	As required for Precontractual Assessment and Annual DOA	MA 23B Compliance Reporting Requirements	Compliance	N/A	IPA Oversight / Compliance / Year	MMCM Chapter 21
Compliance- Confidentiality Statement and evidence of completion records for New Hires and Annually thereafter.	Annual	7/1/2023-6/30/2024	As required for Precontractual Assessment and Annual DOA	MA_23B HIPAA Privacy and Security	Compliance	N/A	IPA Oversight / Compliance / Year	Code of Federal Regulations, Title 45 §164.530(b)(1)



IPA Deliverable	Report Frequency	CY 2024 Reporting Period	IEHP Due Date	IEHP Policy Number (s)	Department(s)	File Naming Convention	SFTP Folder	Regulatory Measure(s)
Compliance- HIPAA Privacy Incident Universe Report	Annual	7/1/2023-6/30/2024	As required for Precontractual Assessment and Annual DOA	MA_23B HIPAA Privacy and Security	Compliance	N/A	IPA Oversight / Compliance / Year	Code of Federal Regulations, Title 45 §164.530(i)(1) and§164.530(b)(1)
Annual Audited Financial Statements, Including IBNR Certification Financial Statements, Including IBNR Certification	Annual	Fiscal Year	5 Months after end of your IPA's Fiscal Year	MC 19A - Financial Viability	Financial Analysis	IPACode_Annual Financial Statement_2023	Financial Statements	